

05/25/01

JC961 U.S. PTO

05-29-01 BEST AVAILABLE COPY

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 09792909-5037

First Named Inventor or Application Identifier

Akira Yamaguchi

Express Mail Label No: EL281568293

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

JC971 U.S. PTO
09/866035

05/25/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 73
2. ☒ Drawing(s) (35USC 113) Total Pages 9
3. ☒ Declaration and Power of Attorney Total Pages 3
 - a. ☒ Unexecuted(original or copy)
 - b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)
- i. ☐ [Note Box 4 Below]
DELETION OF INVENTOR(S)
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application, Status still proper and desired
12. ☒ Certified Copy of Japanese priority document No. P2000-157116 and No. P2000-200368.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional Continuation-in-part (CIP) ☐ of prior application No: _____

CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS 20	24	4	\$18	\$72
INDEPENDENT CLAIMS 03	3	0	80	0
ANY MULTIPLE DEPENDENT CLAIMS?				
<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				
TOTAL FEE				\$782

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$782 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: David R. Metzger, Esq.
SONNENSCHN NATH & ROSENTHAL
P.O. Box #061080
Wacker Drive Station, Sears Tower
Chicago, IL 60606-1080

Telephone: (312) 876-8000
Fax (312) 876-7934

U-11

SIGNATURE:

David R. Metzger
(No. 32,919)

DATE: May 25, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Akira Yamaguchi et al.

ATTORNEY DOCKET NO:

09792909-5037

SERIAL NO.

GROUP ART UNIT:

FILING DATE:

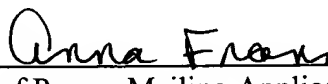
EXAMINER:

INVENTION: "NONAQUEOUS ELECTROLYTE SECONDARY BATTERY"

EXPRESS MAIL CERTIFICATE OF MAILINGExpress Mail" Mailing Label Number EL281568293USDate of Deposit May 25, 2001

I hereby certify that the following items are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" Service Under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents and Trademarks, Box Patent Application, Washington, D.C. 20231:

1. Patent Application Transmittal
2. Filing Fee \$782
3. Specification (73)
4. Drawings (9 sheets)
5. Declaration and Power of Attorney (Unexecuted)
6. Certified Copy of Japanese Priority Document No. P2000-157116 and No. P2000-200368
7. Letter Under 37 CFR §1.41 (c)
8. Certificate of Express Mailing No: EL281568293US
9. Postcard



Signature of Person Mailing Application

NOT AVAILABLE COPY

09792909-5037-052501

8000 Sears Tower
233 South Wacker Drive
Chicago, IL 60606
312.876.8000
312.876.7934 fax
www.sonnenschein.com

Chicago
Kansas City
Los Angeles
New York
San Francisco
St. Louis
Washington, D.C.

May 25 2001

Assistant Commissioner of Patents
Washington, D.C. 20231

Re: New U.S. Application for Letters Patent
Title: "NONAQUEOUS ELECTROLYTE SECONDARY BATTERY"
Applicant: Akira Yamaguchi, et al.
Attorney Docket No: 09792909-5037

Dear Sir:

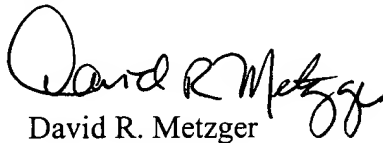
Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 24 claims (3 independent), 9 sheets of drawings (Figs. 1-9), Unexecuted Declaration and Power of Attorney, Certified Copy of Japanese Priority Document No. P2000-157116 and Japanese Priority No. P2000-200368 and the filing fee of \$710. on behalf of

AKIRA YAMAGUCHI, ATSUO OMARU,
MASAYUKI NAGAMINE and MINORU HASEGAWA

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL


David R. Metzger

Enclosures

09866035 052501